## CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

## **Advice Letter Cover Sheet**

Date Mailed to Service List: November 22, 2016

Protest Deadline (20th Day): December 12, 2016

Review Deadline (30<sup>th</sup> Day): December 22, 2016

Rate Impact: \$See AL

See AL%

Requested Effective Date: January 7, 2016

**Utility Name:** California American Water

CPUC Utility #: U210W

Tier □1

**Description:** 2017 Step rate filing.

Authorization D.15-04-007

Advice Letter #: 1141

**District:** Los Angeles County District

⊠2

□3

 $\square$  Compliance

	ndline for this advice letter is 20 days from the st" section in the advice letter for more inform		was mailed to the service list. Please
Utility Contact:	Bahman pourtaherian	Utility Contact:	Sherrene Chew
Phone:	916-568-4241	Phone:	916-568-4233
Email:	Bahman.Pourtaherian@amwater.com	Email:	Sherrene.chew@amwater.com
DWA Contac	t: Tariff Unit		
Phon	<b>e</b> : (415) 703-1133		
Ema	il: Water.Division@cpuc.ca.gov		
	DWA USE (	ONLY	
<u>DATE</u>	STAFF	CON	<u>MMENTS</u>
[ ] APPROVED	[ ]WITHI	DRAWN	[ ] REJECTED
Signature:	Com:	ments:	



November 22, 2016

**ADVICE LETTER NO. 1141** 

## TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Los Angeles County District which are attached hereto:

C.P.U.C.	T''. (0)	Canceling
Sheet No.	<u>Title of Sheet</u> Schedule No. LA-1	Sheet No.
XXXX-W	Los Angeles County District Tariff Area	8134-W
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	Schedule No. LA-1(Continued)	
XXXX-W	Los Angeles County District Tariff Area	8135-W
~~~~v	GENERAL METERED SERVICE	6133-44
	Schedule No. LA-1 (Continued)	
XXXX-W	Los Angeles County District Tariff Area	8136-W
	GENERAL METERED SERVICE	
	Schedule No. LA-1 (Continued)	
XXXX-W	Los Angeles County District Tariff Area GENERAL METERED SERVICE	8204-W
	Schedule No. LA-3M	
XXXX-W	Los Angeles County District Tariff Area	8138-W
	MEASURED IRRIGATION SERVICE	
	Schedule No. LA-3M (continued)	
XXXX-W	Los Angeles County District Tariff Area MEASURED IRRIGATION SERVICE	8205-W
	Schedule No. CA-LIRA California American Water	
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	Schedule No. CA-4	
XXXX-W	California American Water	8149-W
700011	PRIVATE FIRE PROTECTION SERVICE	01.10.11
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## Purpose:

This advice letter filing is being made in order to request approval of the 2016 step increase for Los Angeles County District in accordance with California Public Utilities Commission (Commission) Decision (D.) 15-04-007.

#### Background:

Submission of these tariffs is made in compliance with Ordering Paragraph 8 of D. 15-04-007 which states:

For escalation years 2016 and 2017, California-American Water Company shall file Tier 2 advice letters proposing new revenue requirements and corresponding revised tariff schedules for each district. The filing shall include rate procedures set forth in the Commission's Rate Case Plan (Decision 07-05-062) for Class A Water Utilities and shall include appropriate supporting workpapers. The revised tariff schedules shall take effect no earlier than January 1, 2016, and January 1, 2017, respectively and shall apply to service rendered on and after their effective dates.

These tariffs are also being filed in compliance with ordering paragraph 13 of D. 15-04-007 which states:

13. California-American Water Company is required to file 2016 and 2017 escalation year filings for each and every district.

This filing also includes an analysis on Cal-Am's low income program per the settlement agreement adopted in D.15-04-007, which states:

...ORA and CAW further agree:

- 1. The program will be a Company-wide program,
- 2. A surcharge will be based on the parameters and calculations as detailed in the above Rate Design Section 10 of this document,
- 3. The surcharge will be applicable to all non-low Income water and wastewater customers,
- 4. The surcharge will be evaluated and adjusted annually in the annual Step rate filings to ensure appropriate collections, and
- 5. The annual adjustment will be based on calculations as provided in Attachment E-7 to this settlement wherein actual data for discounts, Low Income customers and total customers are inserted into the calculation to replace estimates that are currently shown. Actual data should be through September 30, of the last recorded period.

#### Request:

This advice letter filing is to request implementation of Los Angeles County District's 2017 step increase. As shown in the supporting earnings test and workpapers for the twelve months ended September 30, 2016, the district earned a rate of return of 7.79% on a recorded basis and 8.95% on a "proforma" basis. The most recent authorized rate of return per D.12-07-009 was 8.41% for 2016 adjusted to 8.65% to match the designated pro forma period.

In accordance with the Commission staff's procedures for filing step increase, attrition and offset advice letters, since the pro forma rate of return is above the authorized rate of return of the same time period, the district is over earning and is, therefore, not entitled to the full step rate

increase. California American Water, therefore, respectfully requests a step rate increase in the amount of \$2,186,499 or 7.30%.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

#### Tier Designation:

Pursuant to D.15-04-007, this advice letter is submitted with a Tier 2 designation.

## **Effective Date:**

Pursuant to D.15-04-007, California American requests an effective date of January 7, 2017.

#### RESPONSE OR PROTEST<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.
- 7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

<sup>&</sup>lt;sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>&</sup>lt;sup>2</sup> G.O. 96-B. General Rule 7.4.2

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

Water.Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address: Mailing Address:

todd.pray@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

<u>sarah.leeper@amwater.com</u> 555 Montgomery Street, Ste. 816

San Francisco, CA 94111

james.kelly01@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

## REPLIES<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray

Todd Pray

Senior Manager - Rates & Regulatory

<sup>&</sup>lt;sup>3</sup> G.O. 96-B, General Rule 7.4.3

655 West Broadway, #1410 San Diego, CA 92101 Revised

C.P.U.C SHEET NO.

XXXX-W

CANCELLING Revised

C.P.U.C SHEET NO.

8134-W

# Schedule No. LA-1 Los Angeles County District Tariff Area GENERAL METERED SERVICE

### **APPLICABILITY**

Applicable to all water furnished on a metered basis.

## **TERRITORY**

Baldwin Hills Service Area consisting of Baldwin Hills, Windsor Hills, View Park, Ladera Heights, and vicinity, Duarte Service Area consisting of Bradbury, Duarte, portions of Irwindale, Monrovia, and vicinity, and San Marino Service Area consisting of San Marino, Rosemead, portions of San Gabriel, Temple City, and vicinity, Los Angeles County.

#### **RATES**

**Quantity Rates:** 

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	Winter	Summer	
	(Nov-Apr)	(May-Oct.)	
Baldwin Hills Service Area:	Base Rate	Base Rate	
Residential Customers:	Per 100 gal (CGL)	Per 100 gal (CGL)	
For the first 82.2 CGL	\$0.4165	\$0.4373	(I)
For the next 52.3 CGL	\$0.5079	\$0.5333	
For the next 164.5 CGL	\$0.6602	\$0.6907	
For all water delivered over 299.2 CGL	\$0.9142	\$0.9599	(I)
All Other Customers:			
For all water delivered, per CGL	\$0.5079	\$0.5333	(I)
Duarta Carriga Areas			
Duarte Service Area:			
Residential Customers:	<b>£0.2002</b>	¢0 21 41	<b>/</b> I\
For the first 82.2 CGL	\$0.2992	\$0.3141	(1)
For the next 89.7 CGL	\$0.3740	\$0.3927	
For the next 1,099.5 CGL	\$0.4525	\$0.4749	
For all water delivered over 1,271.6 CGL	\$0.6918	\$0.7255	(I)
Commercial, Public Authority and			
Industrial Customers:			
For all water delivered, per 100 cu. CGL	\$0.3740	\$0.3927	<b>(I)</b>

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1141	J.T. LINAM	DATE FILED	
		NAME	EFFECTIVE	
DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION	

655 West Broadway, #1410 San Diego, CA 92101

Revised

C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. 8135-W

Schedule No. LA-1 (continued) Los Angeles County District Tariff Area GENERAL METERED SERVICE

#### **RATES**

**Quantity Rates:** 

	Winter	Summer
	(Nov-Apr)	(May-Oct.)
San Marino Service Area:	Base Rate	Base Rate
Residential Customers:	Per 100 gal (CGL)	Per 100 gal (CGL)
For the first 97.2 CGL	\$0.2913	\$0.3059 (I)
For the next 112.2 CGL	\$0.3936	\$0.4133
For the next 351.5 CGL	\$0.5787	\$0.6062
For all water delivered over 561.0 CGL	\$0.7676	\$0.8070 (I)
All Other Customers:		
For all water delivered, per CGL	\$0.3936	\$0.4133 (I)

Service Charge: General Metered	Per Meter
	Per Month
For 5/8 x 3/4-inch meter	\$9.69 (I)
For 3/4-inch meter	\$14.53
For 1-inch meter	\$24.21
For 1-1/2-inch meter	\$48.43
For 2-inch meter	\$77.49
For 3-inch meter	\$145.29
For 4-inch meter	\$242.15
For 6-inch meter	\$484.29
For 8-inch meter	\$774.87
For 10-inch meter	\$1,113.87 (I)

The Service Charge is a readiness-to-serve charge which is applicable to all general metered services and to which is added the charge for water used computed at the Quantity Rates.

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)
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		NAME	EFFECTIVE
DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION
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C.P.U.C SHEET NO.

XXXX-W

CANCELLING Revised

C.P.U.C SHEET NO.

8136-W

Schedule No. LA-1 (continued) Los Angeles County District Tariff Area **GENERAL METERED SERVICE** 

## RATES (Continued):

Service Charge: Residential Fire Sprinkler System (RFSS)	Per Meter	
	Per Month	
For 5/8 x 3/4-inch residential to 1-inch residential metered fire sprinkler	\$10.35	(I)
For 5/8 x 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$14.57	
For 5/8 x 3/4-inch residential to 2-inch residential metered fire sprinkler	\$15.77	
For 3/4-inch residential to 1-inch residential metered fire sprinkler	\$14.73	
For 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$18.99	
For 3/4-inch residential to 2-inch residential metered fire sprinkler	\$20.23	
For 1-inch residential to 1 1/2-inch residential metered fire sprinkler	\$27.04	
For 1-inch residential to 2-inch residential metered fire sprinkler	\$28.44	
For 1 1/2-inch residential to 2-inch residential metered fire sprinkler	\$47.21	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all Residential Fire Sprinkler System metered services only and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED B	Y UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1141	J.T. LINAM	DATE FILED
		NAME	EFFECTIVE
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655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised

C.P.U.C SHEET NO. 8204-W

(C)

Schedule No. LA-1 (continued) Los Angeles County District Tariff Area GENERAL METERED SERVICE

#### SPECIAL CONDITIONS

Fees and Surcharges: (Continued)

4. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharges. (Continued)

#### c. San Marino:

- i. For the San Marino service area, a surcredit is included on each bill to refund the net over-collection in the WRAM and MCBA of \$3,067,513, including interest, as of December 31, 2014. The surcredit is \$0.0305 per 100 gallons and will remain effective for 36 months beginning April 30, 2015.
- 5. Per Advice Letter 1141, a surcharge of \$1.21 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
- 6. Per Advice Letter 1114-A, the under-collected balance in the Los Angeles County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge, as shown in the below table effective February 1, 2016. The total amount will be recovered from all classes of customers.

Service Area	Consolidated Expense Balance Account Surcharge per 100 gallon	Number of Months Applicable From Effective Date	
Baldwin Hills	\$0.0288	36	
Duarte	\$0.0159	12	
San Marino	\$0.0207	24	

(TO BE INSERTED BY	(UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
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655 West Broadway, #1410 San Diego, CA 92101

Revised

C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised

C.P.U.C SHEET NO. 8138-W

## Schedule No. LA-3M Los Angeles County District Tariff Area MEASURED IRRIGATION SERVICE

#### **APPLICABILITY**

Applicable to all measured service for irrigation purposes as defined in the special conditions below. Applicable only to premises serviced under Schedule No. LA-3M on a continued basis on and after January 1, 1969.

#### **TERRITORY**

Bradbury, Duarte, portions of Irwindale, Monrovia, and vicinity, Los Angeles County.

#### **RATES**

**Quantity Rates:** 

Base Rate

Per 100 Gal (CGL)

A. Pressure service all water, per CGL.

\$0.4199

Per Meter

B. Gravity service all water, per CGL.

\$0.3711

Service Charge: General Metered

	Per Month
For 1-inch meter	\$5.96
For 1-1/2-inch meter	\$11.94
For 2-inch meter	\$19.08
For 3-inch meter	\$35.77
For 4-inch meter	\$59.54

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The Service Charge is a readiness-to-serve charge which is applicable to all metered service and to which is to be added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C) ADVICE LETTER NO. J.T. LINAM DATE FILED 1141 NAME **EFFECTIVE** DECISION NO. D.15-04-007 DIRECTOR - Rates & Regulatory RESOLUTION

TITLE

655 West Broadway, #1410 San Diego, CA 92101

Revised

C.P.U.C SHEET NO. 8205-W

CANCELLING Revised

C.P.U.C SHEET NO. 8139-W

## Schedule No. LA-3M Los Angeles County District Tariff Area MEASURED IRRIGATION SERVICE

#### SPECIAL CONDITIONS (continued):

### Fees and Surcharges:

- 1. All bills are subject to the reimbursement fee set forth in Schedule No. UF
- 2. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows: City of Duarte, 1.966%; and City of Bradbury, 1.959%.
- 3. Per Advice Letter 1072-B, a surcharge of \$0.0039 per hundred gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
- 4. Per Advice Letter 1141, a surcharge of \$1.21 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
- 5. Per Advice Letter 1114-A, the under-collected balance in the Los Angeles County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge, as shown in the below table effective February 1, 2016. The total amount will be recovered from all classes of customers.

Service Area	Consolidated Expense Balancing Account Surcharge per 100 gallons	Number of Months Applicable From Effective Date
Duarte	\$0.0159	12

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
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		TITLE		

For 3/4-inch meter

For 1-1/2-inch meter

For 1-inch meter

For 2-inch meter

For 3-inch meter

For 4-inch meter

For 6-inch meter

For 8-inch meter

For 10-inch meter

655 West Broadway, #1410 San Diego, CA 92101

Revised

C.P.U.C SHEET NO. XXXX-W

CANCELLING New

C.P.U.C SHEET NO. 8122-W

(I)

#### Schedule No. CA-LIRA (Continued) California American Water LOW INCOME RATEPAYER ASSISTANCE PROGRAM RATES: **Southern Division:** Los Angeles **Quantity Rates:** Winter Summer Baldwin Hills Service Area (Nov-Apr) (May- Oct.) Base Rate Base Rate Per 100 gal (CGL) Per 100 gal (CGL) For the first 82.2 CGL \$0.3332 \$0.3498 (I) For the next 52.4 CGL \$0.4063 \$0.4266 (I) For the next 164.6 CGL \$0.6602 \$0.6907 (I) For all water delivered over 299.2 CGL \$0.9142 \$0.9599 **(I)** Winter Summer **Duarte Service Area** (Nov-Apr) (May- Oct.) Base Rate Base Rate Per 100 gal (CGL) Per 100 gal (CGL) For the first 82.2 CGL \$0.2394 \$0.2513 **(I)** \$0.2992 For the next 89.8 CGL \$0.3142 (I) For the next 1099.6 CGL \$0.4525 \$0.4749 **(I)** For all water delivered over 1271.6 CGL \$0.6918 \$0.7255 (I) San Marino Service Area Winter Summer (Nov-Apr) (May- Oct.) Base Rate Base Rate Per 100 gal (CGL) Per 100 gal (CGL) For the first 82.2 CGL \$0.2330 \$0.2447 (I) For the next 89.8 CGL \$0.3306 \$0.3149 (I) For the next 1099.6 CGL \$0.5787 \$0.6062 (I) \$0.8070 For all water delivered over 1271.6 CGL \$0.7676 **(I)** Service Charge: General Metered Per Meter Per Month For 5/8 x 3/4-inch meter \$7.75 (I)

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DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION

(Continued)

\$11.62

\$19.37

\$38.74

\$61.99

\$116.23

\$193.72

\$387.43

\$619.90

\$891.10

TITLE

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

## Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE

#### **APPLICABILITY**

Applicable to all water service furnished for privately owned fire protection system.

## **TERRITORY**

All territories served by California American Water Company

### **RATES**

Larkfield District	Per Month
For each 1 1/2-inch connection	\$25.74
For each 4-inch connection	\$31.04
For each 6-inch connection	\$50.60
For each 8-inch connection	\$70.64
For each 10-inch connection	\$91.37

## **Los Angeles County District**

For each 4-inch connection or smaller	\$0.00	(R)
For each 6-inch connection	\$0.00	(R)
For each 8-inch connection	\$0.00	(R)
For each 10-inch connection	\$0.00	(R)
For each 12-inch connection	\$0.00	(R)

### **Monterey County District**

\$25.33
\$27.86
\$30.39
\$35.45
\$40.51
\$75.38
\$113.87
\$156.13

#### **Sacramento Division**

For each 4-inch connection or smaller	\$32.54
For each 6-inch connection	\$60.13
For each 8-inch connection	\$87.10
For each 10-inch connection	\$120.97
For each 12-inch connection	\$172.83

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C) ADVICE LETTER NO. \_\_\_ 1141 J.T. LINAM DATE FILED NAME EFFECTIVE D.15-06-012 DECISION NO. DIRECTOR - Rates & Regulatory RESOLUTION

TITLE

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

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6599-W, 6600-W

Revised

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Los Angeles County District

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8017-W. 7631-W

Monterey County District

(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)

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Other Customers 8117-W, 7689-W, 8229-W, 8230-W,

7692-W, 8025-W, 7639-W, 8259-W

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) ADVICE LETTER NO. 1141 J. T. LINAM DATE FILED

NAME **EFFECTIVE** 

<u>DIRECTOR – Rates & Regulatory</u> RESOLUTION DECISION NO.

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

Revised

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